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01-21-03  
1140668-0005**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Joachim Schmitt  
Serial No. : 10/019,898  
Filed : December 28, 2001  
For : COMMUNICATIONS SYSTEM AND COMMUNICATIONS  
METHOD FOR AN AUTOMATION UNIT WITH  
COMMUNICATIONS DATA STORED IN SAID  
AUTOMATION UNIT  
Examiner : To be assigned  
Group Art Unit : To be assigned

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JAN 14 2003

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I hereby certify that this paper is being deposited  
with the United States Postal Service as  
first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

Scott T. Weingaertner 37,756

Attorney Name

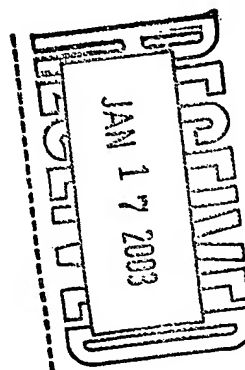
PTO Reg. No.

January 8, 2003

Signature

Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231



**INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING OF A FIRST OFFICE ACTION  
ON THE MERITS (37 C.F.R. §1.97(b)(3))**

Sir:

Applicant submits this communication and the attached Form PTO-1449 in compliance  
with 37 C.F.R. §§1.56, 1.97 and 1.98. A copy of each of the documents listed on the Form PTO-  
1449 is enclosed as part of this communication.

The documents identified on the attached form PTO-1449 include documents which are cited in the specification of the referenced application and/or in the International Search Report (“ISR”) of International Application No. PCT/DE00/02025 of which the referenced application is the U.S. national stage application. A copy of the International Search Report is included with this communication.

As part of this Information Disclosure Statement, Applicant provides an English language Abstract of the Japanese language patent documents Publication Numbers 10161707 and 11024716.

Applicant provides English language Abstracts, as well as the German language references, for the following documents: EP 0 727 723; DE 296 00 609; and DE 298 23 119. Applicant provides an English language Abstract for the DE 196 15 190 reference.

Applicant provides herewith copies of German language EP 0 9176 466 and EP 0 917 034 and English language U.S. Patent No. 6,311,101 which are members of the same patent family.

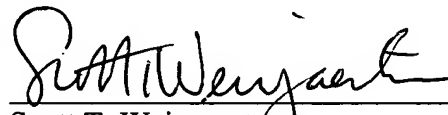
As for the articles by B. Schranze, et al. and T. Klesper, et al., these documents were cited in an examination report of the German Patent Office in connection with the now granted, first-filed counterpart of the above-referenced application. A copy of the granted German application (DE 199 29 933 C2) is enclosed. The Schranze and Klesper articles provide background relating to remote data access to field devices such as sensors and actuators via the Internet and also to remote diagnosis via the internet. The references do not, however, relate to service and support over a network, which subject matter is recited in all of the pending claims.

**TIME OF TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits according to 37 C.F.R. §1.97(b)(3). Therefore, no fee is due in connection with this filing. However, should any fee be deemed necessary in connection with this filing, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 23-1703.

Dated: January 8, 2003

Respectfully submitted,



\_\_\_\_\_  
Scott T. Weingaertner  
Reg. No. 37, 756  
Attorney for Applicant  
Attorney's Direct Line: (212) 819-8404  
Customer No. 07470  
(212) 819-8200

**Enclosures**

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(REV. 2-82) PATENT AND TRADEMARK OFFICE

Atty. Docket No.  
**1140668-0005**

Serial No.  
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INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

Applicant:  
**Joachim Schmitt**

Filing Date:  
**December 28, 2001**

Group:  
**TBA**

UNITED STATES PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Name	Class	Sub-Class	Filing Date, if Appropriate
	5	8	0	5	4	4	2	09/08/98	Crater, et al.			<b>RECEIVED</b>
	6	0	3	5	3	2	8	03/07/00	Soukal			<b>JAN 14 2003</b>
	6	2	6	3	4	8	7	07/17/01	Stripf, et al.			<b>Technology Center 2100</b>

FOREIGN PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Country	Class	Sub-Class	Translation Yes No	
	0	8	2	2	4	7	3	04/02/98	EP				
	0	8	2	5	5	0	6	25/02/98	EP				
	0	9	1	6	4	6	6	19/05/99	EP				
	0	9	1	7	0	3	4	19/05/99	EP				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	A	B. Schranz, et al., "Internet-die Meßtechnik-Wunderwaffe?" IN: Elektronik 9/1998, Seite 88-92.
	B	T. Klesper: "Der Internet-Zugriff aufs LON" IN: Elektronik 8/1998, Seite 60,62,64,66-68.
	C	Marina Arseniev ( <a href="mailto:Marsenie@UCI.EDU">Marsenie@UCI.EDU</a> ) et. al.: "Comparison: JAR vs. non-JAR" Internet Citation, XP002142006; 10/1997.

Examiner:

Date Considered:

\*Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	6	3	1	1	1	0	1	10/31/01	Kastner			<b>RECEIVED</b>
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*Examiner Initial	Document No.							Date	Country	Class	Sub-Class	Translation Yes No	
	0	7	2	7	7	2	3	21/08/96	EP				
	0	8	1	2	0	8	6	10/12/97	EP				
	29	6	0	0	6	0	9	27/03/97	DE				
	19	6	1	5	1	9	0	23/10/97	DE				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)


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*Examiner Initial	Document No.	Date	Country	Class	Sub-Class	Translation Yes No
	19 9 2 9 9 3 3	27/06/02	DE			
	29 8 2 3 1 1 9	08/04/99	DE			
	9 9 1 3 3 8 8	18/03/99	WO			
	0 1 0 2 8 0 3	11/01/01	WO			

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## FOREIGN PATENT DOCUMENTS

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	10	1	6	1	7	0	7		19/06/98	JP				
	11	0	2	4	7	1	6		29/01/99	JP				

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